

Kawa Capital Management, Inc. (“Kawa”) – PRIVACY NOTICE

FACTS

WHAT DOES KAWA DO WITH YOUR PERSONAL INFORMATION?

Why?	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share and protect your personal information. Please read this notice carefully to understand what we do.
What?	<p>The types of personal information we collect and share depend on the product or service you have with us. This information can include, without limitation:</p> <ul style="list-style-type: none"> • Social Security number and assets • Accounts balances and transaction history • Risk tolerance and wire transaction instructions <p>When you are <i>no longer</i> our customer, we continue to share your information as described in this notice.</p>
How?	All financial companies need to share customers’ personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers’ personal information; the reasons Kawa chooses to share; and whether you can limit this sharing.

Reasons we can share your information	Does Kawa share?	Can you limit this sharing?
For our everyday business purposes – such as to process your transactions, obtain financing, maintain your account(s), respond to court orders and legal investigations, or to report to credit bureaus	Yes	No
For our marketing purposes – to offer our products and services to you	No	We don’t share
For joint marketing with other financial companies	No	We don’t share
For our affiliates’ everyday business purposes – information about your transactions and experiences	Yes	No
For our affiliates’ everyday business purposes – information about your creditworthiness	No	We don’t share
For our affiliates to market to you	Yes	Yes
For non-affiliates to market to you	No	We don’t share

To limit our sharing	You can contact us at any time to limit our sharing. Call us at (305) 560-5200 or email us at info@kawa.com . Please note: If you are a new customer, we can begin sharing your information 30 days from the date we sent this notice. When you are no longer our customer, we continue to share your information as described in this notice.
Questions?	E-mail us at info@kawa.com or call (305) 560-5200.

What we do	
How does Kawa protect my personal information?	To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.
How does Kawa collect my personal information?	We collect your personal information, for example, when you <ul style="list-style-type: none"> • Open an account or seek advice about your investments • Make a wire transfer or direct us to buy securities • Enter into an investment advisory contract, or subscribe to one of our investment vehicles or participate in a loan
Why can't I limit all sharing?	Federal Law gives you the right to limit only <ul style="list-style-type: none"> • sharing for affiliates' everyday business purposes – information about your creditworthiness • affiliates from using your information to market to you • sharing for non-affiliates to market to you <p>State laws and individual companies may give you additional rights to limit sharing.</p>

Definitions	
Affiliates	Companies related by common ownership or control. They can be financial and non-financial companies. <ul style="list-style-type: none"> • Affiliates we share your data with include Kawa Securities LLC (“Kawa Securities”), Kawa and Kawa Securities’ parent, Kawa Capital Partners LLC (“Kawa Partners”), and various other entities under common ownership or control with Kawa Partners.
Non-affiliates	Companies not related by common ownership or control. They can be financial and non-financial companies. <ul style="list-style-type: none"> • Kawa does not share with non-affiliates so they can market to you.
Joint Marketing	A formal agreement between non-affiliated financial companies that together market financial products or services to you. <ul style="list-style-type: none"> • Kawa doesn't jointly market.

Other important information	
In order to service your account and process transactions, we may provide your personal information to our affiliates and to firms that assist us in servicing your account and have a need for the information, such as administrators. We require third party service providers to protect the confidentiality of your information and to use the information only for the purposes for which we disclose the information to them.	